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Attn: Mail Stop Amendment

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Art Unit 1645

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

(U.S. Nat'l Phase of Int'l Appl. No. PCT/KR2003/000665;

Int'l Filing Date: April 3, 2003)

Appl. No. 10/551,466; § 371(c) Date: August 7, 2006

For: Conjugate for Gene Transfer Comprising Oligonucleotide and Hydrophilic Polymer, Polyelectrolyte Complex Micelles Formed from the Conjugate, and Methods for Preparation Thereof

Inventors: JEONG et al.

Our Ref: 2236.0180000/JUK/A-L

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Information Disclosure Statement;
- 2. IDS Form PTO/SB/08A (1 page) citing 5 documents;
- 3. IDS Form PTO/SB/08B (1 page) citing 3 documents;
- 4. One (1) copy of Document FP1;
- 5. One (1) copy each of Documents NPL1-NPL3; and
- 6. One (1) return postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier.

In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC: 1100 New York Avenue, NW: Washington, DC 20005: 202.371.2600 f 202.371.2540: www.skgf.com

Commissioner for Patents December 21, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Judith U. Kim

Attorney for Applicants Registration No. 40,679

Juditu U. Fin

JUK/A-L/lam Enclosures

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

JEONG et al.

Appl. No.: 10/551,466

(U.S. Nat'l Phase of Int'l Appl. No. PCT/KR2003/000665;

Int'l Filing Date: April 3, 2003)

§ 371(c) Date: August 7, 2006

For: Conjugate for Gene Transfer Comprising Oligonucleotide and Hydrophilic Polymer, Polyelectrolyte Complex Micelles Formed from the Conjugate, and Methods for

**Preparation Thereof** 

Confirmation No.: 4435

Art Unit: 1645

Examiner: To be assigned

Atty. Docket: 2236.0180000/JUK/A-L

## **Information Disclosure Statement**

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying PTO Forms SB/08A and SB/08B are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Copies of documents FP1 and NPL1-NPL3 are submitted. However, in accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached PTO Form SB/08A are submitted.

Where the publication date of a listed document does not provide a month of publication, the year of publication of the listed document is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the month of publication is not in issue. Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Judith U. Kim

Attorney for Applicants Registration No. 40,679

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Date: December 21, 2006

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ubstitute for form 1449/PTO					Complete if Known			
					Application Number	10/551,466		
INFORMATION DISCLOSURE					371(c) Date	August 7, 2006		
INFORMATION DISCLOSURE					First Named Inventor	Hi Joon Jeong		
STATEMENT BY APPLICANT					Art Unit	1645		
					Examiner Name	To be assigned		
Sheet	1	of	1		Attorney Docket Number	2236.0180000/JUK/A-L		

Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (If Known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
	US1	5,714,166	02-03-1998	Tomalia et al.	
	US2	6,221,959 B1	04-24-2001	Kabanov et al.	
	US3	6,521,456 B1	02-18-2003	Siebenkotten and Christine	
	US4	2001/0005717 A1	06-28-2001	Wagner et al.	
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Examiner Cite Initials* No.1		Foreign Patent Document	Publication Date	Name of Patentee or	
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document		
	FP1	WO 02/43769	06-06-2002	Hofland et al.	
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Examiner	Date	
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PTO/SB/08B (07-05)
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Substitute for form 1449/PTO				Con	Complete if Known		
				Application Number	10/551,466		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				§ 371(c) Date:	August 7, 2006		
				First Named Inventor	Ji Hoon Jeong		
SIAIL	VIEN I	DX.	APPLICANT	Art Unit	1645		
				Examiner Name	To be assigned		
Sheet	1	of	1	Attorney Docket Number	2236.0180000/JUK/A-L		

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of	
Initials*	No. <sup>1</sup>	the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	NPL1	FISHER et al., "Intracellular disposition and metabolism of fluorescently-labeled unmodified and modified oligonucleotides microinjected into mammalian cells," Nucleic Acids Res. 21:3857-3865, Oxford University Press (1993)	
	NPL2	KATAOKA et al., "Spontaneous formation of polyion complex micelles with narrow distribution from antisense oligonucleotide and cationic block copolymer in physiological saline," <i>Macromolecules 29</i> :8556-8557, American Chemical Society (1996)	
	NPL3	MILLIGAN et al., "Current concepts in antisense drug design," J. Med. Chem. 36:1923-1937, American Chemical Society (1993)	
622936.1			

622936.1 Examiner Date Signature Considered

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